



TD Cowen
a division of TD Securities

TD Execution Services Limited
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UK

TD EXECUTION SERVICES LIMITED

NOTICE REGARDING CHANGE OF NAME

DECEMBER 2024

Notice Regarding Change of Name

Further to previous communications and public statements, the TD Bank group businesses currently operating as TD Securities and TD Cowen continue to integrate more closely together.

As a significant milestone in the progress of the integration and to reflect the increasing depth of the integration, the board of directors and shareholders of Cowen Execution Services Limited (**CESL**) decided to rename CESL to TD Execution Services Limited (**TDESL**), which was completed on 6 December 2024.

Any open contractual documents or similar that a third party has or had with CESL prior to such date will be considered to be amended to refer to TDESL (along with related updates to any contextual terms) instead of CESL but will otherwise be unchanged. Further, any all references to such former name, wherever arising, should be read and construed accordingly.

Further information regarding this change of name and related matters can be found online at:

<https://find-and-update.company-information.service.gov.uk/company/06262150>

and

<https://www.tdsecurities.com/ca/en/legal>